

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

7/26/05

Title of Invention

DERIVATIVES TRADING METHODS THAT USE A VARIABLE
ORDER PRICE AND A HEDGE TRANSACTION

Application Number : 10/611458
Confirmation Number: 1628
First Named Applicant: Scott Johnston
Attorney Docket Number: 006119.00007
Art Unit: 3624
Examiner: Charles Kyle
Search string: (20020133456).pn



US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
001	1	20020133456	2002-09-19	Lancaster			

Signature

Examiner Name	Date
CK/KR	3/2/06

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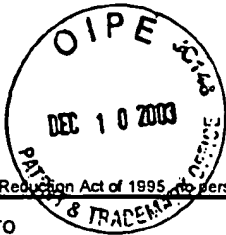
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init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
Cite	1	20050160024	2005-07-21	Soderborg			

Signature

Examiner Name	Date
Charles R. Kyle	3/2/06



PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/611,458
Filing Date	July 1, 2003
First Named Inventor	Scott Johnston
Art Unit	3624
Examiner Name	T/B/D
Attorney Docket Number	006119.00007

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
ELC		Introduction to the International Securities Exchange, International Securities Exchange, pp 1-12, 1999	

Examiner Signature	<i>Crawley</i>	Date Considered	7/2/04
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Title of
Invention

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Application Number: 10/611458



Confirmation Number: 1628

First Named Applicant: Scott Johnston

Attorney Docket Number: 006119.00007

Art Unit: 3624

Search string: (6016483 or 6195647 or 6263321 or 6282521
or 6317727 or 6360210 or 6421653).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<i>CLM</i>	1	6016483	2000-01-18	Rickard, et al		705	37
<i>CLM</i>	2	6195647	2001-02-27	Martyn, et al		705	37
<i>CLM</i>	3	6263321	2001-07-17	Daughtery, III		705	36
<i>CLM</i>	4	6282521	2001-08-28	Howorka		705	37
<i>CLM</i>	5	6317727	2001-11-13	May		705	37
<i>CLM</i>	6	6360210	2002-03-19	Wallman		705	36
<i>CLM</i>	7	6421653	2002-07-16	May		705	37

Signature

Examiner Name	Date
<i>C. J. H. H.</i>	<i>3/2/06</i>

**Complete if Known**

Application Number	10/611,458
Filing Date	July 1, 2003
First Named Inventor	Johnston
Art Unit	3624
Examiner Name	Charles Kyle
Attorney Docket Number	006119 00007

(Use as many sheets as necessary)

Sheet	1	of	3
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OKL		US- 2003/0216932	11/20/2003	Foley	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

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CLL		"X_Trader Platform" [retrieved on 2004/03/18] Retrieved from the internet URL <http://www.tradingtechnologies.com/blue_xtrader.html	
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Examiner Signature	<i>Charles H</i>	Date Considered	3/2/00
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